
— “Optical Measurements”

Master Degree in Engineering
Automation-, Electronics-, Physics-,
Telecommunication- Engineering



Alignment/Pointing and Dimensional Measurements

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Summary

- **Propagation and transformation** (focusing, widening-and-collimation) of gaussian beams
- **Position sensitive detectors** of laser beam
 - 4-quadrants photodiodes
 - PSD (*Position Sensitive Detector*)
 - reticle detectors
- **Laser level**
- **Wire diameter** measurement
- Optical measurement of **particulate dimensions**

— Laser alignment

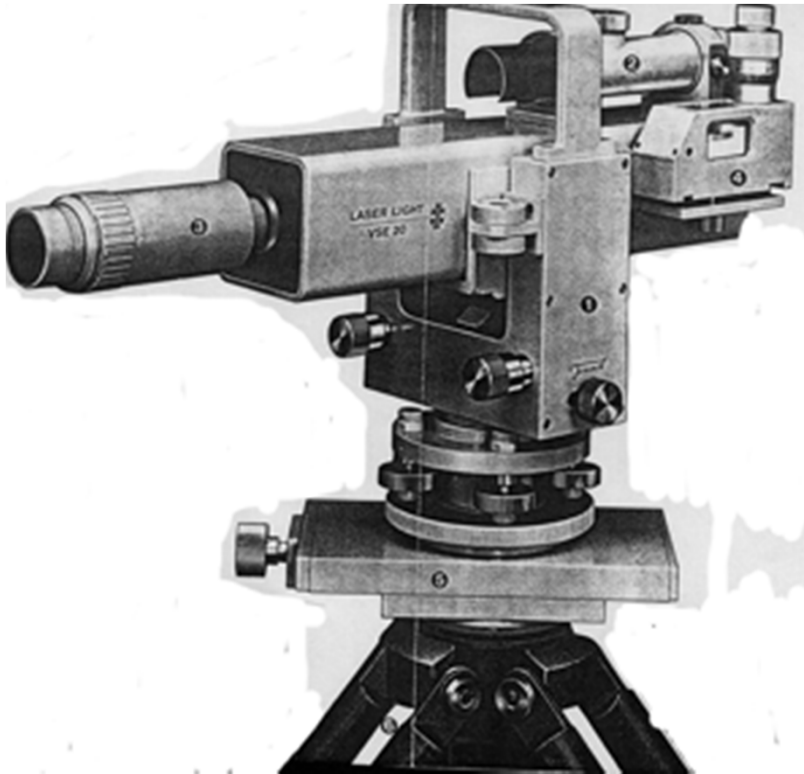
One property of **laser sources** is the possibility of keeping the **optical beam well collimated** (slightly divergent and hence with “**constant spot size**” during propagation)

The **divergence limit** posed by **diffraction theory** (TEM_{00}) is “easy to meet”: *e.g.* for an **He-Ne LASER (633 nm)**.

Visible light is useful for **alignment** in a specific **direction** (“filo a piombo” not only vertical)

We must **minimize the laser spot dimension** on the whole working region (range $\pm z^*$) and to this aim we must design an **optimal value of the *beam waist* (w_0)** in the center of the range: for this purpose we use a **telescope** to “**widen the spot size** to the desired dimension”

— Laser alignment in constructions



Typical instrument for laser alignment and its use in the construction of gas pipeline (LaserLight AG, Munich)



Propagation of a laser Gaussian beam

$$w(z) = w_0 \sqrt{1 + \left(\frac{\lambda z}{\pi w_0^2}\right)^2} \cong \frac{\lambda z}{\pi w_0} = \theta z \quad \text{for } z \gg z_R$$

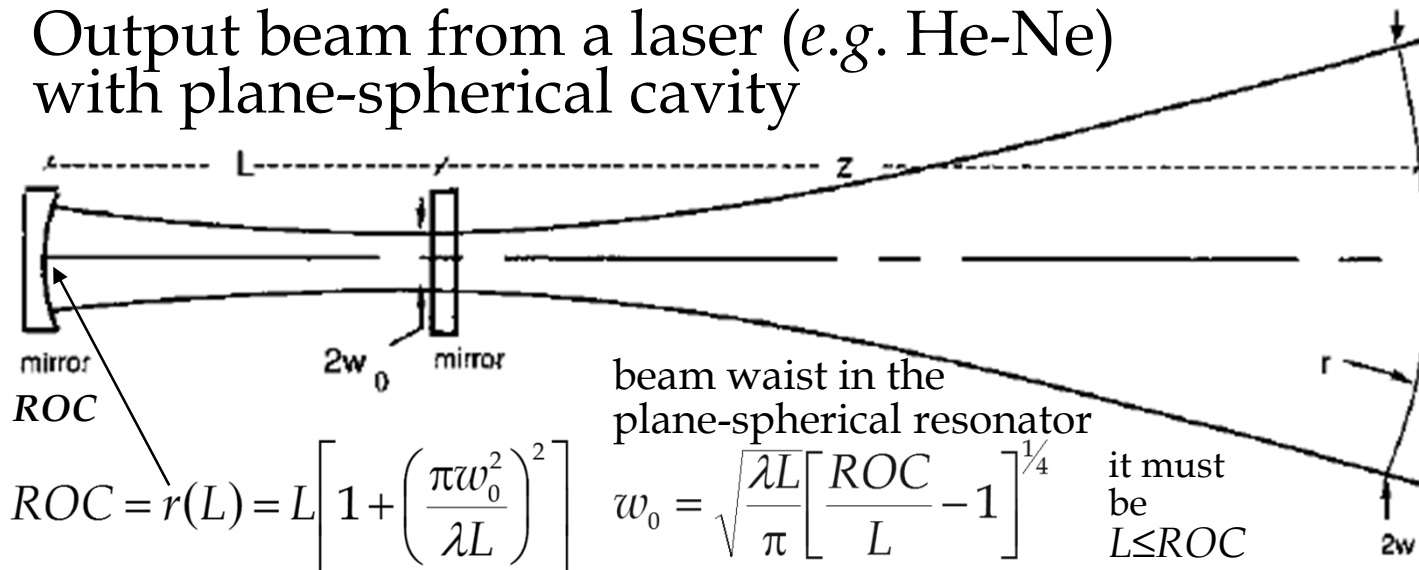
Divergence of the laser spot (free space)

$$r(z) = z \left[1 + \left(\frac{\pi w_0^2}{\lambda z}\right)^2 \right] \cong z \quad \text{for } z \gg z_R$$

Curvature Radius of the wave front

$r = \infty$ at $z=0$ and at $z=\infty$ (plane wave) minimum $r_{\text{MIN}} = 2z_R$ at $z=z_R$

Output beam from a laser (e.g. He-Ne) with plane-spherical cavity

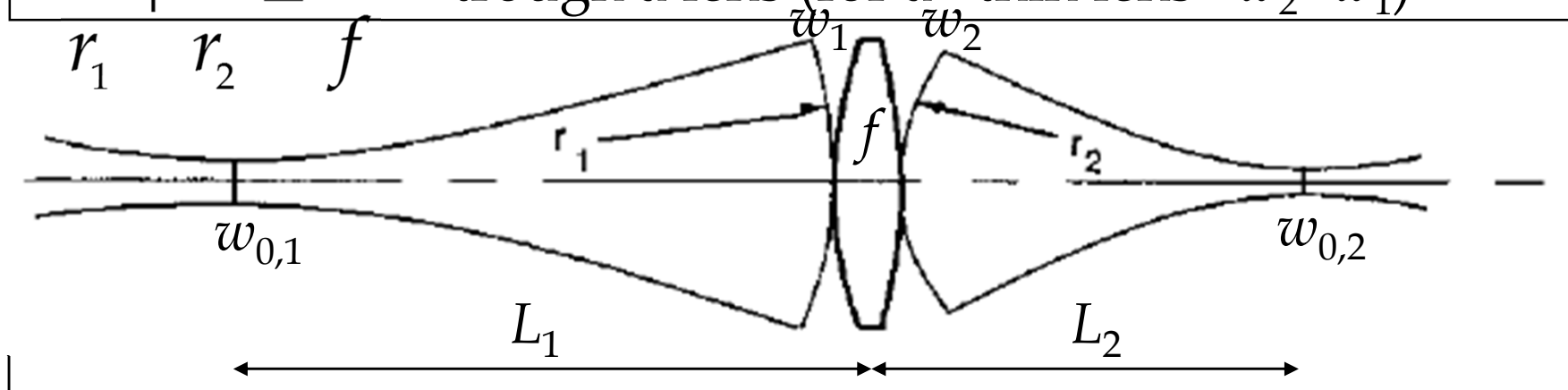


Propagation through a lens

analogous of $1/p+1/q=1/f$ for geometrical optics

$$\frac{1}{r_1} + \frac{1}{r_2} = \frac{1}{f}$$

Transformation of the curvature radii through a lens (for a "thin lens" $w_2=w_1$)



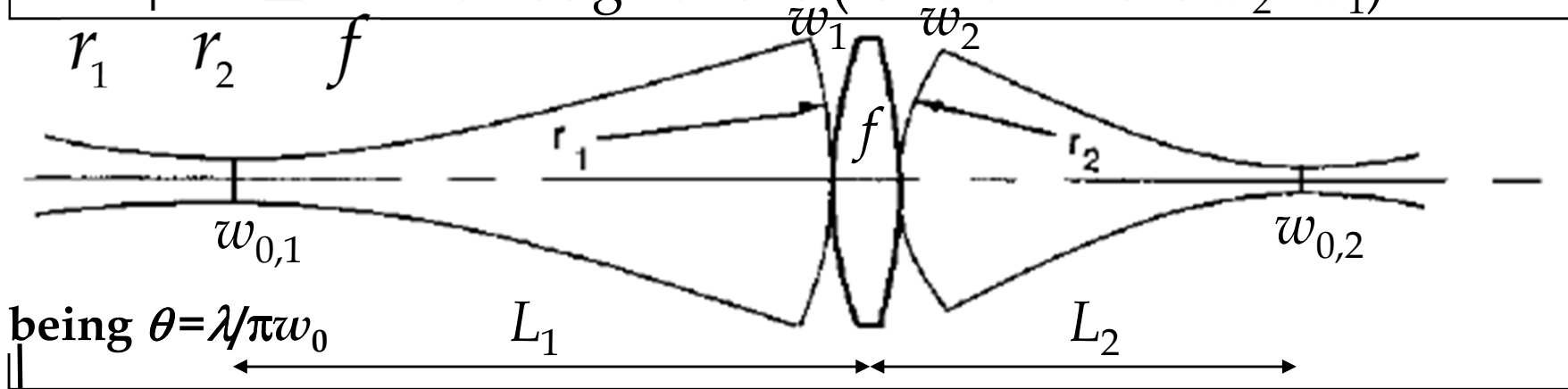
1. know/measure the incident beam ($w_{0,1}$ or w_1, r_1)
2. derive r_2 [as $(1/f - 1/r_1)^{-1}$]
3. use $w_2=w_1$ ["thin lens"]
4. derive $w_{0,2}$ [propagation of a Gaussian beam over L_2]
(knowing both r_2 and w_2 , from 2. and 3.)

Before ("object") and after ("image") the lens we have $w_0/r = \text{const.}$
and also $w_0/L = \text{const.}$ we see in the next slide how ...

Propagation through a lens

$r_{1,2}$ are not easy to measure while measuring $L_{1,2}$ is simple

$\frac{1}{r_1} + \frac{1}{r_2} = \frac{1}{f}$	Transformation of the radii of curvature through a lens (for a thin lens $w_2 = w_1$)
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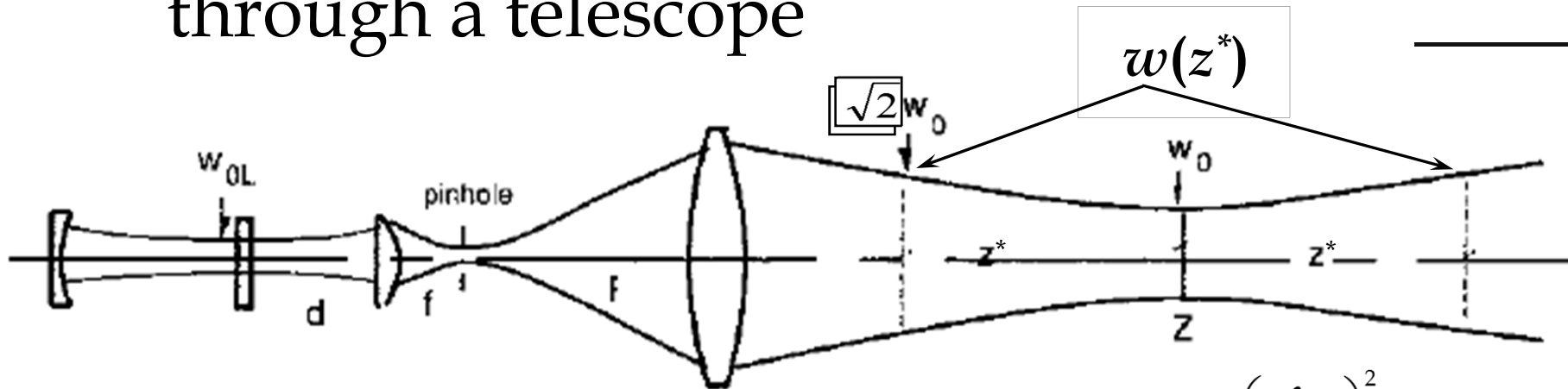
If $z > z_R$ ($z \gg z_R$) $\Rightarrow r_{1,2} \cong L_{1,2}$ and hence $\theta_1 r_1 \cong w_1 = w_2 \cong \theta_2 r_2 \Rightarrow$
 $\rightarrow r_1 / w_{0,1} \cong r_2 / w_{0,2} \quad w_{0,1} / w_{0,2} \cong r_1 / r_2 \cong L_1 / L_2$ e $w_{0,1} / L_1 \cong w_{0,2} / L_2$

After propagating through a lens the beam undergoes a magnification $m = w_{0,2} / w_{0,1} = r_2 / r_1 = L_2 / L_1$

$$L_2 = \left(\frac{1}{f} - \frac{1}{L_1} \right)^{-1}$$

To "enlarge" $w_{0,1}$ with respect to $w_{0,2}$, one must work with $r_1 > r_2$ and hence with the lens more distant from $w_{0,1}$ ($L_1 > L_2$) then the distance from $w_{0,2}$ (or for $L_1 < L_2$ one has $w_{0,1} < w_{0,2}$)

Collimation over a range $\pm z^*$ through a telescope



$$w^2(z) = w_0^2 + \left(\frac{\lambda z}{\pi w_0} \right)^2$$

condition for collimation over a range $\pm z^*$

Varying w_0 we search the **minimum** $w(z^*)$, at fixed $\pm z^*$ distance from the beam waist... We differentiate the expression of the spot size w respect to w_0 , or $y=[w]^2$ respect to $W=[w_0]^2$:

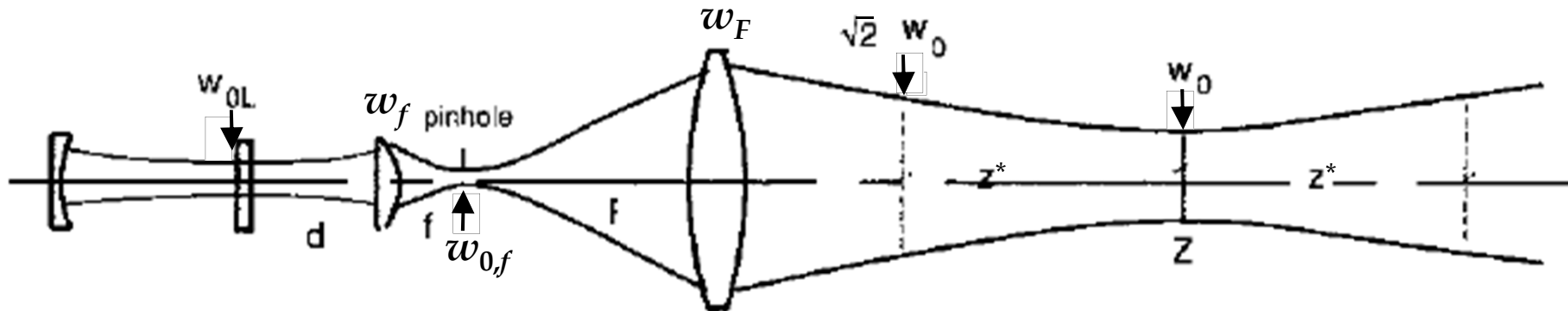
$$y = W \left[1 + \left(\frac{\lambda z^*}{\pi W} \right)^2 \right] = W + k W^{-1} \quad \frac{\partial y}{\partial W} \dots$$

the half-width of the collimation range is

$$w_0 = \sqrt{\frac{\lambda z^*}{\pi}} \quad \frac{\partial y}{\partial W} = \frac{\partial w^2}{\partial w_0^2} = 1 - \left(\frac{z^*}{z_R} \right)^2 = 0 \Rightarrow z^* = \frac{\pi w_0^2}{\lambda} = z_R$$

with $w(z^*) \cong 1.4 w_0^{8/38}$

Beam-sizing of the laser spot after a telescope



$$w_{0,f}/w_{0L} \cong f/d \quad \text{e} \quad w_0/w_{0,f} \cong Z/F \Rightarrow w_0 \cong (Z/F) \cdot (f/d) w_{0L}$$

Spot magnification: $m = w_0/w_{0L} = (Z/d) \cdot (1/M)$
 with $M = F/f = w_F/w_f$ telescope magnification

Typically one has $f \ll F$, and it is relatively easy to “adjust” the dimension w_0 ($\propto f$) and the distance Z by slightly moving the ocular (lens with focal length f) (in fact, in terms of relative variations: $\Delta w_0/w_0 = \Delta f/f$)

Example of collimation of an He-Ne LASER for alignment

DATA:

He-Ne LASER with plano-concave cavity ($L=20$ cm, $ROC=1$ m).

We want to cover $\pm z^* = \pm 20$ m with minimum spot dimensions:
calculate the magnification m of the laser spot and the one M of the telescope.

Imagine we use a telescope with ocular distance $d=10$ cm and we want to work with $Z \cong z^* = 20$ m.

From $w_{0L} = \sqrt{\frac{\lambda L}{\pi} \left[\frac{ROC}{L} - 1 \right]^{1/4}}$ we obtain $w_{0L} = 282 \mu\text{m} \approx 0.3$ mm

From $z^* = \frac{\pi w_0^2}{\lambda} = 20$ m we obtain $w_0 = 2$ mm (diam. $2w_0 = 4$ mm)

From $w_0 \cong (Z/F) \cdot (f/d) w_{0L}$ we get $m = w_0/w_{0L} = 7.1 = (Z/d)/M$ as magnification of the laser spot, whereas the magnification of the telescope is $M = F/f = (Z/d)/m = (20/0.1)/7.1 = 28$

At ± 20 m from w_0 , beam size is $D \cong 2 \cdot 1.41 w_0 \cong 2.8 \cdot 2$ mm = **5.6 mm**

Diapositiva 10

CS7

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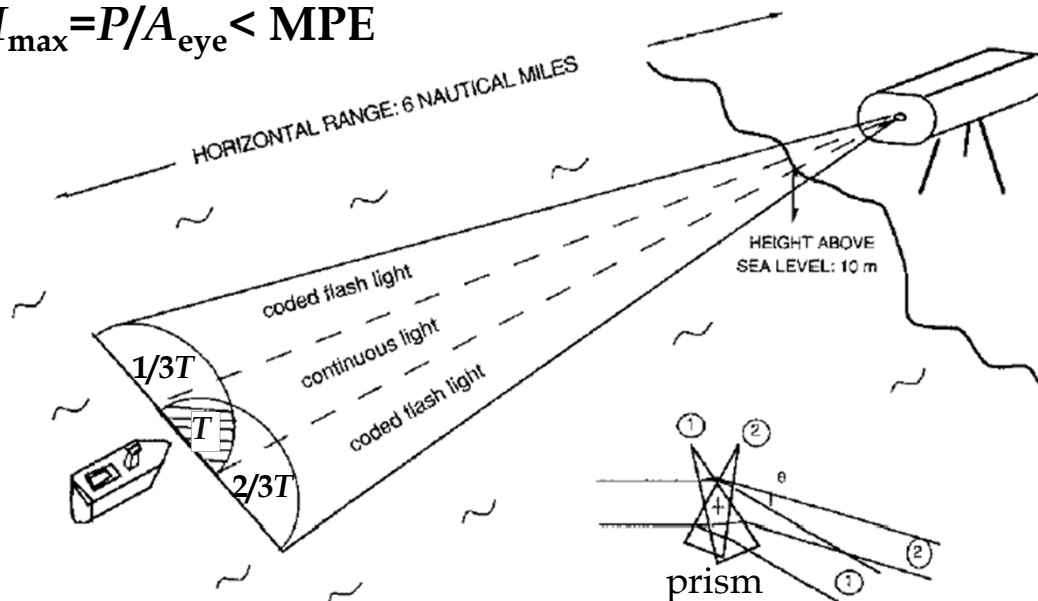
Telescope for alignment and marine channel directions

Typically an alignment system uses an He-Ne (0.5-2 mW) laser and a 50-mm diameter telescope with magnification $M=20-50$. In practice the laser beam can remain collimated in a range from a few tens to a few hundreds of meters.

Using a telescope with $D=100$ mm and a 10 mW He-Ne laser, the beam can be seen at a few miles distance:

$$I_{\max} = P/A_{\text{eye}} < \text{MPE}$$

*Plot 3 square waves
with different d.c. val.
at left, center, right*



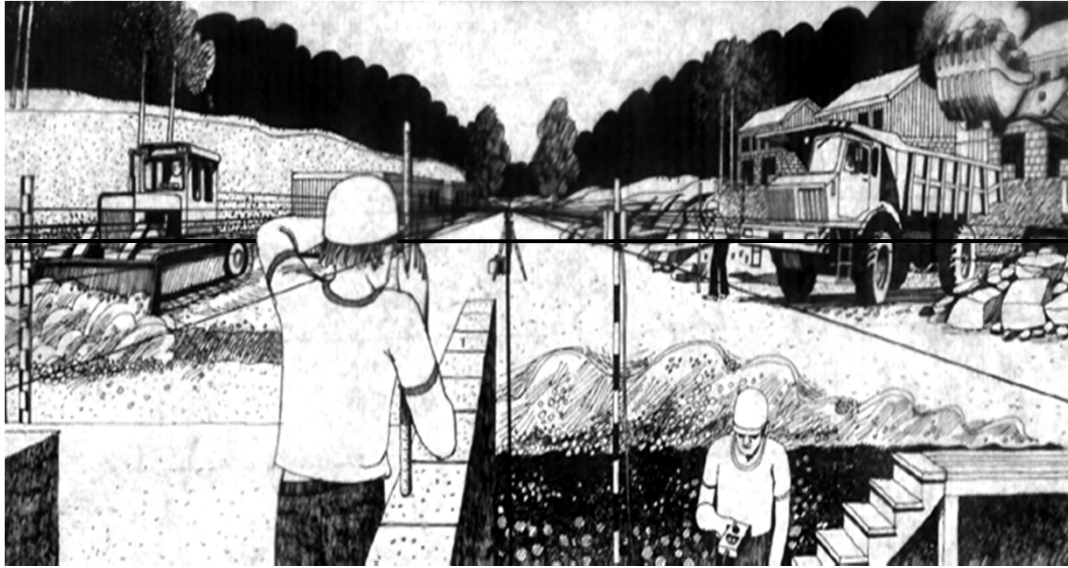
Diapositiva 11

CS8

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Alignment with the laser level



When we need to **measure the height h** or **angle φ** over a **working surface** (construction area, pool, rice field, ...)

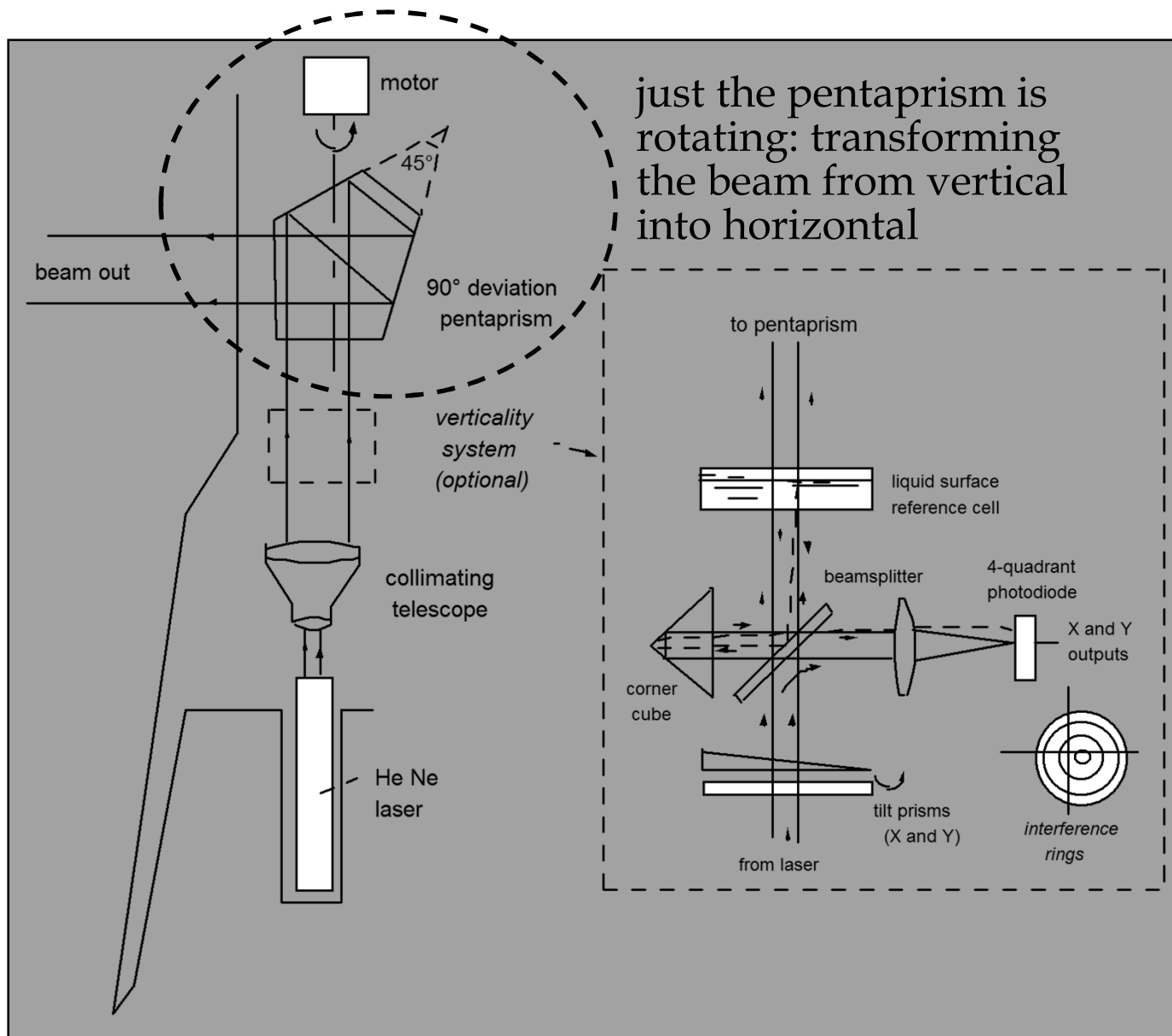
A laser level distributes, over an area of radius 20-50 m, a beam "horizontal fan", at constant height, by changing the rotation angle

We need to "**level**" the **laser beam**: laser+telescope shine vertically (from bottom) a 45° mirror, or to a pentaprism, reflecting light at 90° and hence in the horizontal direction



Typical laser level
instrumentation
tripod mounted

Horizontal leveling of the laser level



The verticality reference is the normal to the surface of a fluid (water) in the bowl. Reflected beam at the air-water interface is recombined with the launched beam and perfect alignment is observed through interference at the detector (screen or 4-quadrant photodetector). With 2 prisms launch X and Y directions can be regulated

Beam centering on the target and position-sensitive photodetectors

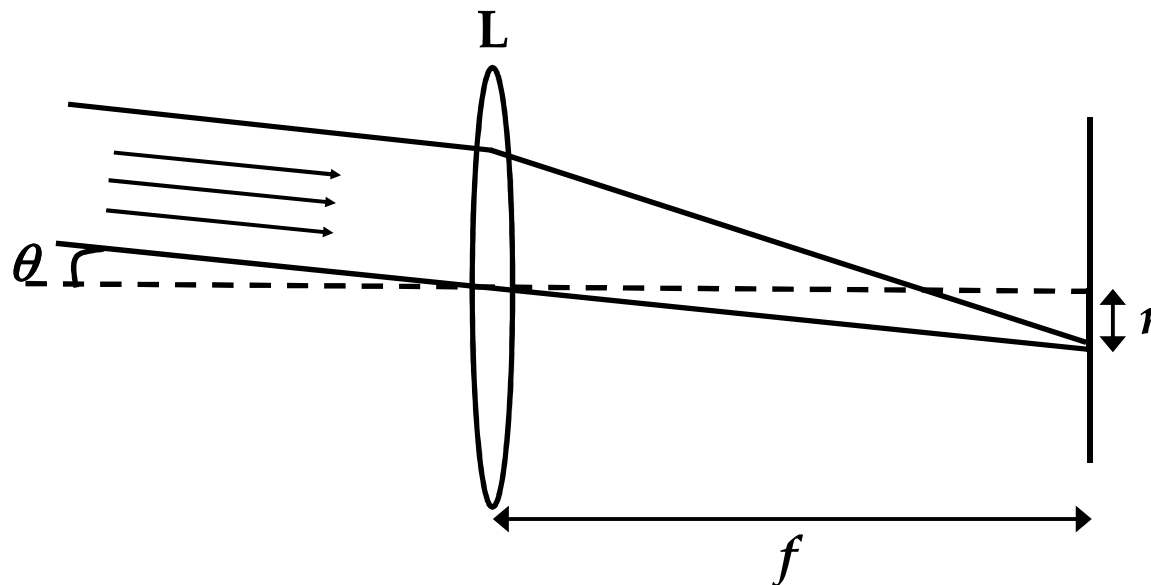
For less stringent applications, like in constructions, it is sufficient an **eye alignment** ($\Delta x \approx \Delta y \approx 1 \text{ mm}$)

For more accurate measurements, we use a **photodetector** to provide for an **electric signal proportional to the alignment error**. A feedback system allows the **alignment control** by **minimizing the error signal**.

The position-sensitive photodetector can be a special **“photodiode”** (**4-quadrant photodiode, PSD sensor** or even a **CCD**) or a normal photodiode coupled to a spatial reticule/mask (**rotating reticule**) **transmitting light as a function of the impinging beam position**

Transformation from angular into spatial (position) coordinate

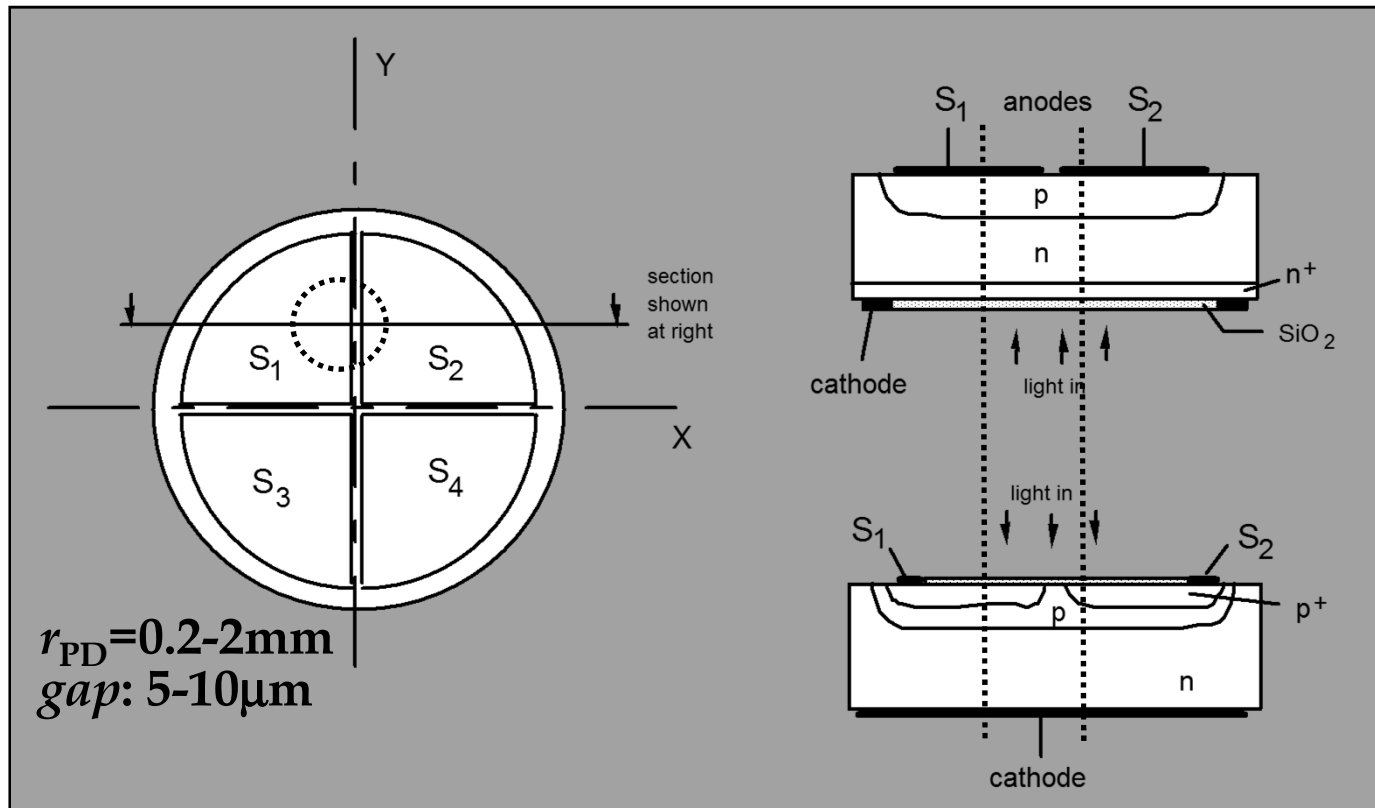
When we need to measure the **arrival direction (angle)** of the optical beam, we use a collecting lens with focal distance f and we observe the **displacement (position)** off-axis of the **laser spot in the lens focal plane**:



Transformation law between angular and spatial coordinate is:

$$r = f \cdot \tan(\theta) \approx f \cdot \theta \quad \text{for } \theta \ll 1$$

4-Quadrant photodiode (position sensor)



In the depletion region of the p-n junction, incident photons produce a current that can flow toward 4 distinct electrodes (one for each circular sector S_1, S_2, S_3, S_4)

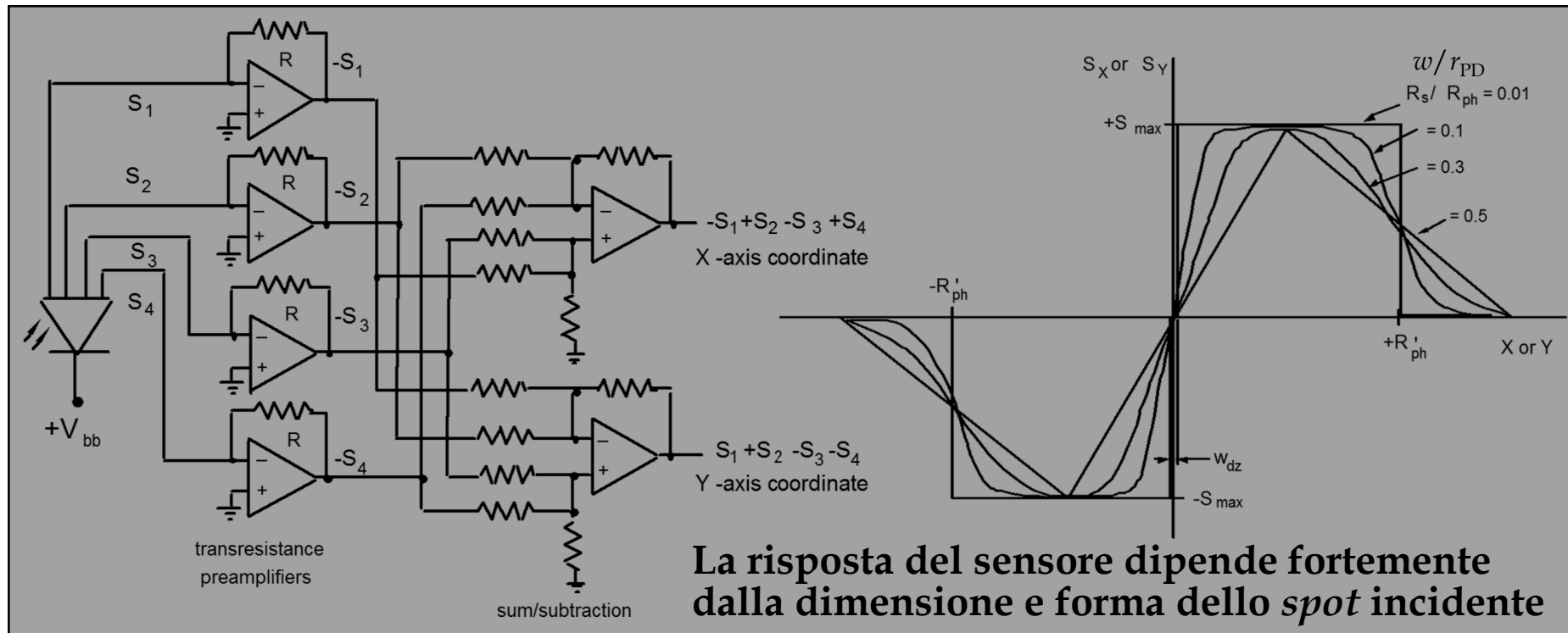
The 4 photocurrents can be combined to obtain two signals proportional to X and Y coordinates of the beam respect to the photodiode center:

$$S_X = (S_2 + S_4) - (S_1 + S_3)$$

$$S_Y = (S_1 + S_2) - (S_3 + S_4)$$

We can also normalize respect to $P_0 \propto S_0 = (S_1 + S_2 + S_3 + S_4)$

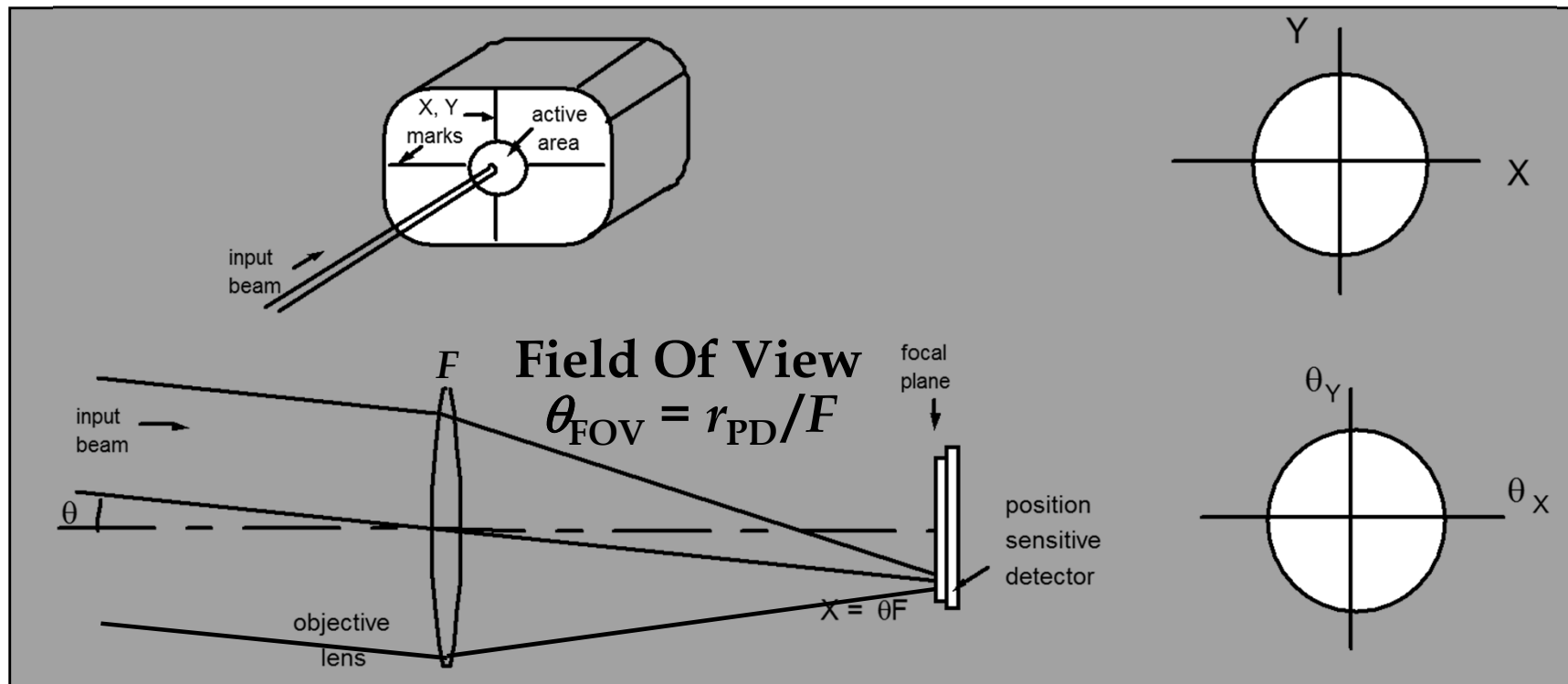
Extraction of X and Y coordinates from 4-Quadrant photodetector



OP-AMP circuit (transimpedance conversion of photocurrents and voltage sum/subtraction) to achieve the coordinate signals S_X e S_Y
Spatial localization accuracy on the 4-Q depends on P_{spot} and w and spot shape, and gap and r_{PD} : $\sigma_{X,Y} = 10\% - 3\% \cdot r_{PD}$

Dependence of the coordinate signal S_X (S_Y) from the coordinate value X (Y) of the laser (or light) spot.
 For small spot ($w_0 \ll r_{PD}$) response is squared with a small dead-zone at $X=0$ (or $Y=0$). For larger spot the signal is "linearized" (in the central region) 17/38

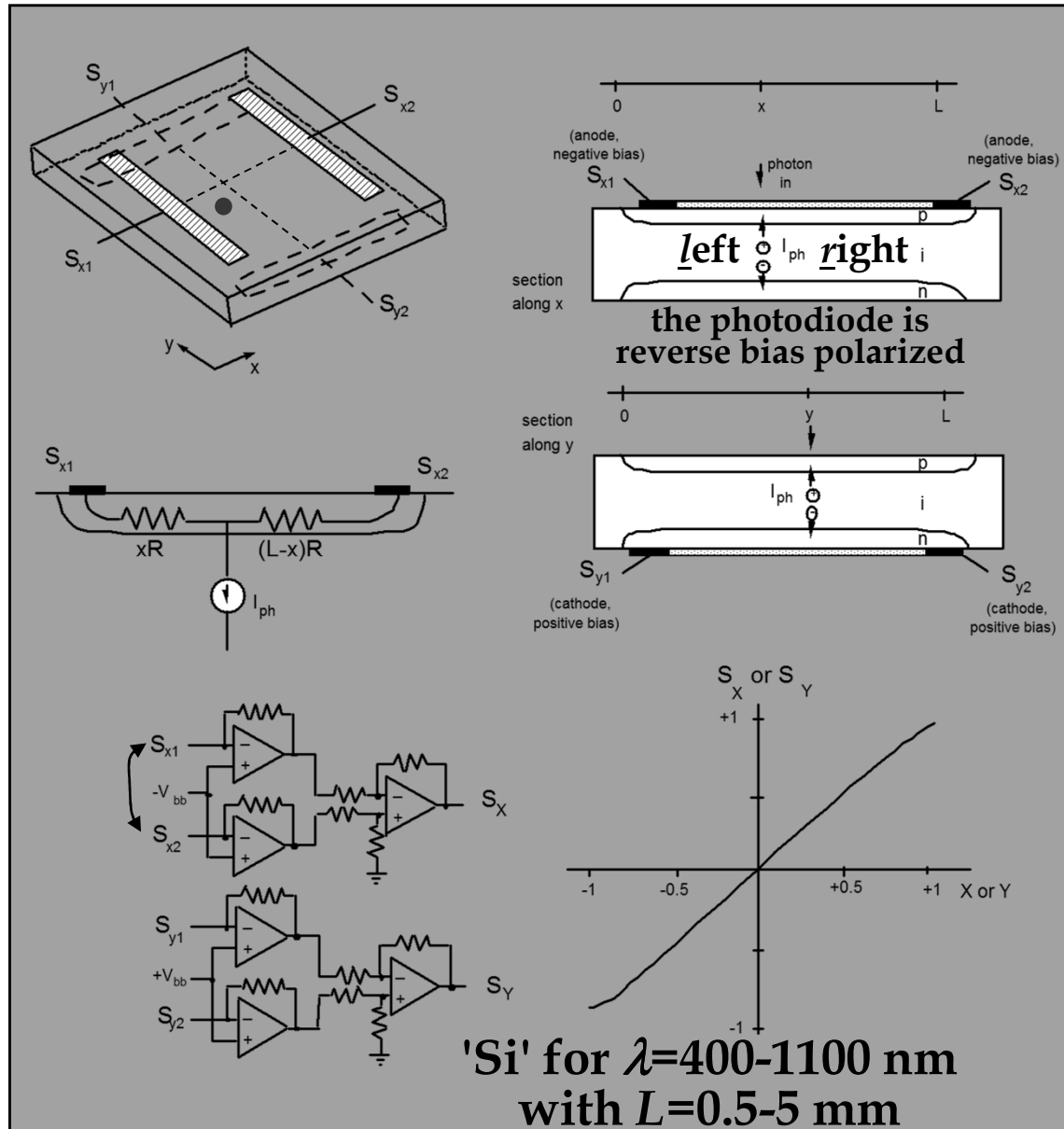
Angular position sensor with 4-Quadrant photodiode



A position sensitive detector (4-Q, PSD, reticule) other than indicator of X and Y coordinates can be used to detect angular (θ_X and θ_Y) coordinates of the arriving beam

If the sensor is placed in the focal plane of a lens, **angular coordinate** is transformed into a corresponding deflection coordinate : $X = F\theta_X$ and $Y = F\theta_Y$

PSD photodiode (scheme and principle)



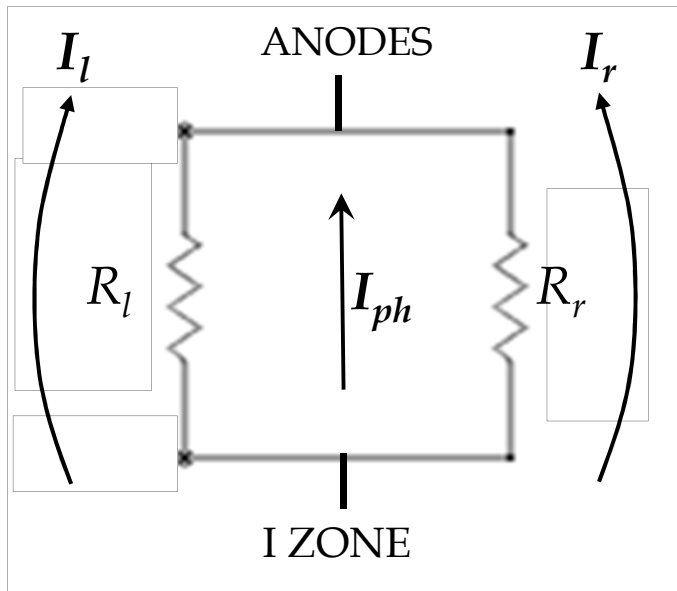
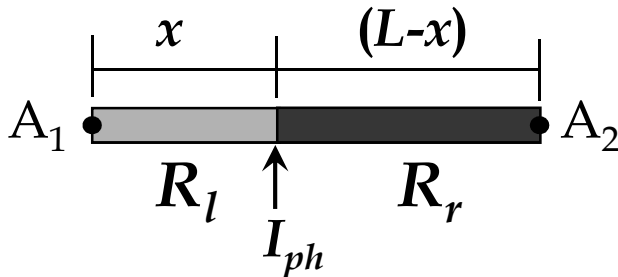
High linearity over the whole measurement range

Position Sensitive Detector is a normal PIN photodiode with thin p and n regions lightly doped (to enhance the series resistance of p and n volumes at the border of the depletion region). Incident spot of (X, Y) coordinate produces a photocurrent flowing from electrodes Y (cathode) to electrodes X (anode).

The current, passing through regions p and n of high resistivity is divided with the partition rule between two resistors.

The difference in the detected currents on the same electrode pairs (X or Y) gives the coordinate $(X \text{ or } Y)$

PSD photodiode (electrical model)



$$R_l = x\rho^*$$

$$R_r = (L-x)\rho^*$$

$$R_l + R_r = L\rho^*$$

ρ^* is the resistivity per unit length
(in the slightly doped p region)

we have **current partitioning**
toward the two anodes A_1, A_2
such as $I_{ph} = I_l + I_r$ with voltage

$$\frac{R_l R_r}{R_l + R_r} I_{ph} = R_l I_l = R_r I_r$$

$$I_l = \frac{R_r}{R_l + R_r} I_{ph} = \frac{L-x}{L} I_{ph}$$

$$I_r = \frac{R_l}{R_l + R_r} I_{ph} = \frac{x}{L} I_{ph}$$

PSD photodiode (working equations)

$$I_{x1} = I_l = \left(1 - \frac{x}{L}\right) I_{ph}$$

$$I_{x2} = I_r = \frac{x}{L} I_{ph}$$

Similarly

$$I_{y1} = \left(1 - \frac{y}{L}\right) I_{ph}$$

$$I_{y2} = -\frac{y}{L} I_{ph}$$

From the OP-AMP circuit we obtain

$$S_X = -R(I_{x1} - I_{x2}) = \left(\frac{2x}{L} - 1\right) R I_{ph} \propto X$$

$$S_Y = -R(I_{y2} - I_{y1}) = \left(\frac{2y}{L} - 1\right) R I_{ph} \propto Y$$

$I_{ph} = \rho P$
varying with P
(and also with ρ !)

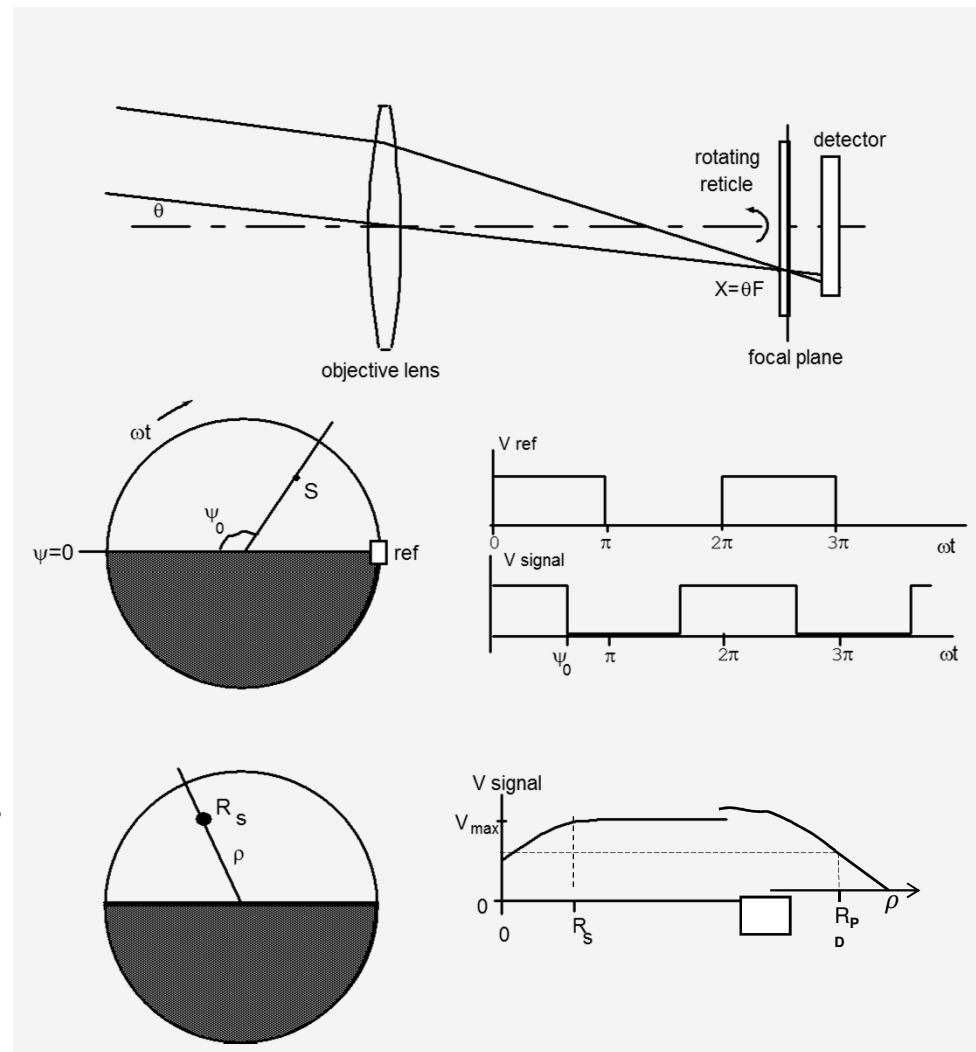
The output becomes independent from photocurrent I_{ph} (and P)
dividing for the sum signal $\Sigma_{X/Y} = R(I_{x/y1} + I_{x/y2}) = R I_{ph}$
 \Rightarrow measurement independent from P and \approx responsivity (ρ)

Position Sensing with Reticules

Transformation from angular into spatial coordinate (lens focal plane) and measurement of spatial coordinate (x,y) from polar coordinates $(\rho, \theta \equiv \Psi_0)$

Position sensing by a rotating reticule: light from a bright spot at the angle θ is imaged by the objective lens on the focal plane, where it is chopped by the reticule placed in front of the photodetector. By comparing the **phase-shift of the square waveform from the photodetector and of a reference**, the **angular position ψ_0** of the source is determined.

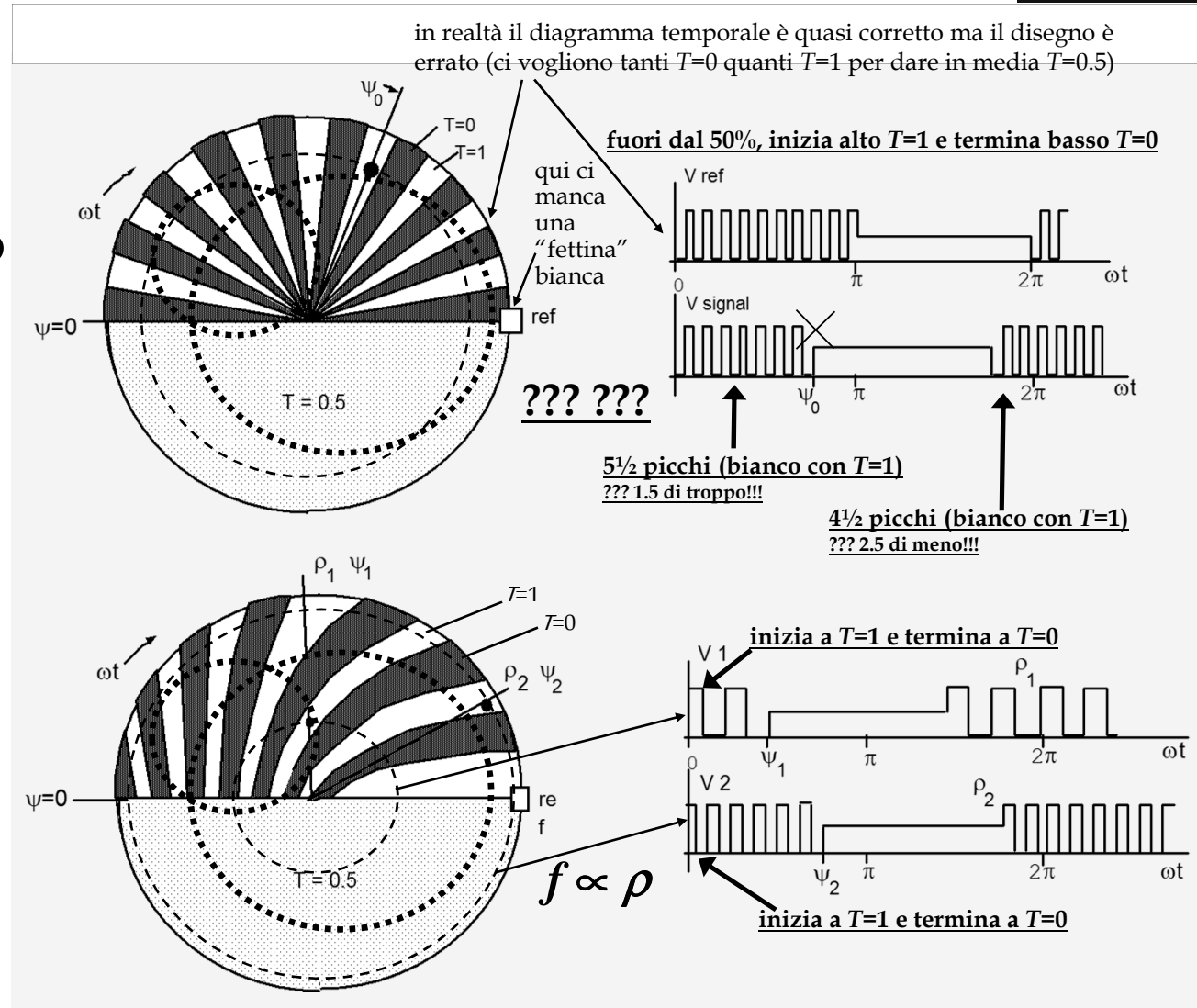
The **amplitude** of the signal, V_{signal} , carries information on the **polar coordinate ρ** , similar to that of the quadrant PD.



Position Sensing with Reticules

The rising-sun (top) reticule provides a better suppression to extended sources of **disturbance** and digital counting of the angle ψ_0 .

The digital readout reticule (bottom) supplies both ρ and ψ coordinates.



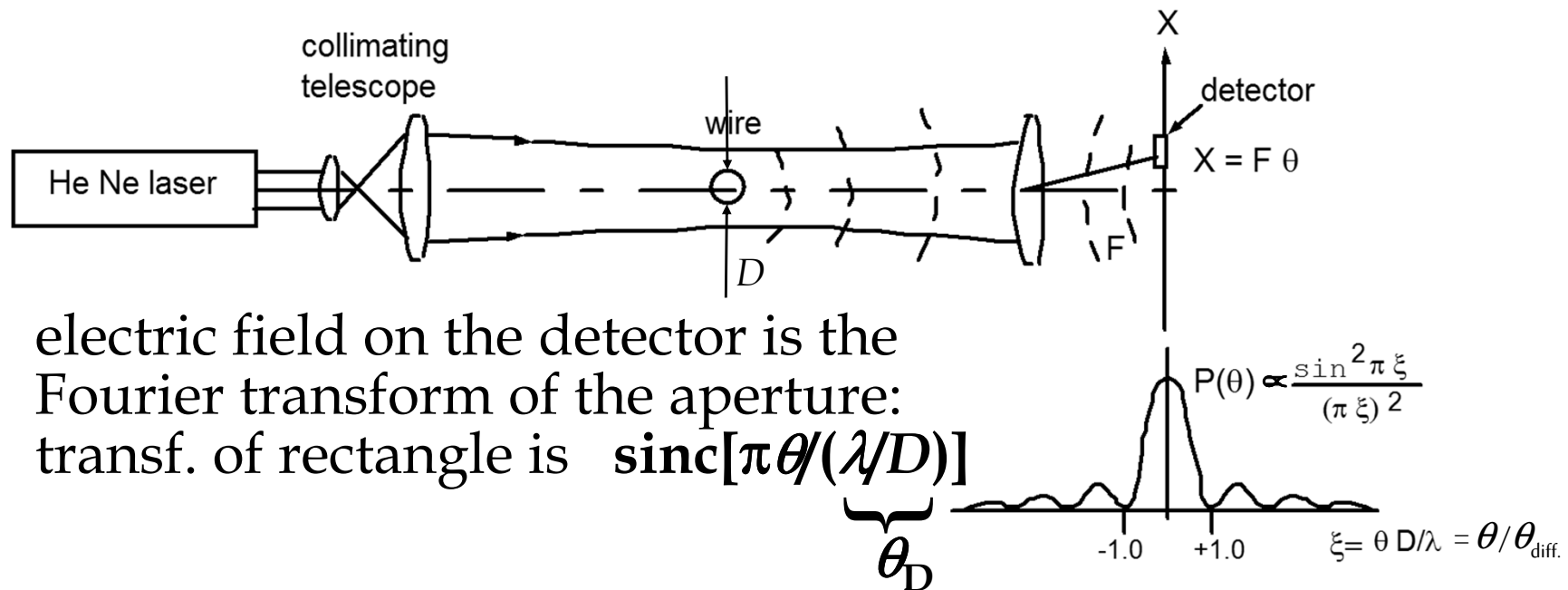
Diapositiva 23

CS10

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Measurements wires diameters from diffracted light analysis



angular distribution is

$$I(\theta) = E_0^2 / \eta_0 \cdot \text{sinc}^2 \pi\theta / \theta_D$$

first zeroes of sinc are at

$$\theta_D = \theta_{\text{diff.}} = \pm \lambda/D \quad \text{e} \quad X_{\text{zero}} = \pm F \lambda/D$$

hence we can obtain

$$\hat{D} = F \lambda / X_{\text{zero}}$$

For small wires (small D) we have X_{zero} large and vice versa
 (it is easier - higher sensitivity - to measure wires with small diameter)

The distance between zeroes (or peaks) on the detector is proportional to $1/D$

Diapositiva 24

CS11

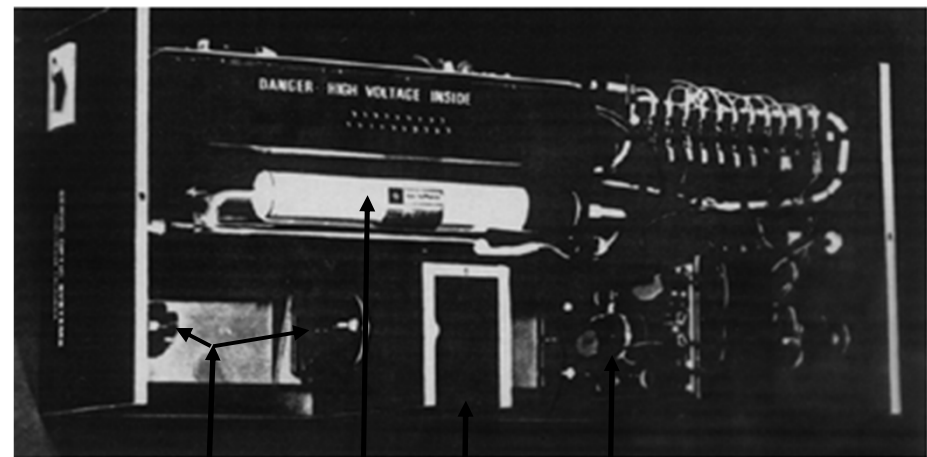
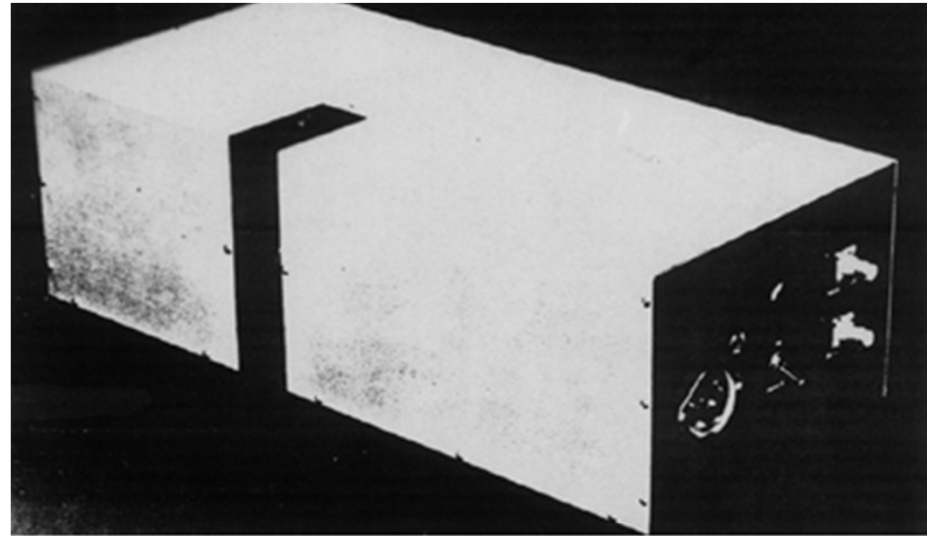
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Instrument for wire diameter measurement

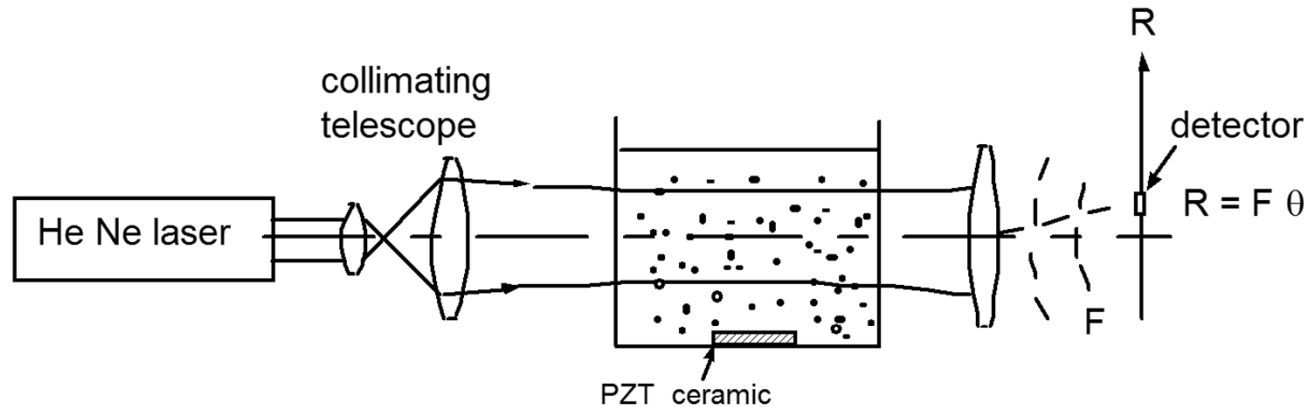
Commercial instruments for wire diameter measurement, measurable diameters can range from $10\ \mu\text{m}$ ($\pm 1\%$ acc.) up to more than $2\ \text{mm}$ ($\pm 5\%$ acc.)

The wire is passed through an "U" aperture: direct monitoring during production, with online correction during the spinning process



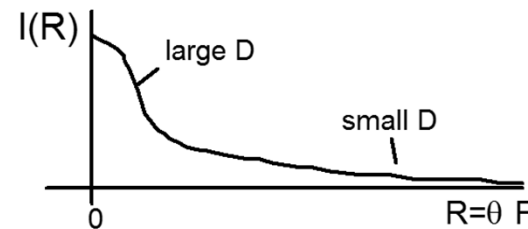
telescope He-Ne aperture lens and detector

Particle diameter measurement



LAELS: Low-Angle ELastic Scattering
 electric field on the detector is the Fourier

transform of the aperture:
 transf. of circle is $\text{somb}[(R/F)/(\lambda/D)]$
 $[(\theta/\theta_{\text{diff}})]$



The diameter analyzer measures diffracted light from suspended particles within a fluid. At the cell exit a lens converts angular diffraction profile into a corresponding spatial profile in the focal plane ($\theta \rightarrow R$). A photodetector (scanned PD or CCD) measures $I(R)$: the distribution of particle diameters, $p(D)$, is calculated inverting

$$I(R) = I_0 \int_0^\infty \text{somb}^2[(D/\lambda)(R/F)] \cdot p(D) dD \quad \text{with } (R/F) = \tan \theta \cong \theta$$

$\text{somb}(x) = 2[J_1(\pi x)]/\pi x$

 \nwarrow
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Particle Size Measurement 2

Methods to solve for $p(D)$ from measured data $I(\theta)$

- *Analytical Inversion*

$$p(D) = -[(4\pi/D)^2/\lambda] \int_{\theta=0-\infty} K(\pi D \sin\theta/\lambda) d[\theta^3 I(\pi D \sin\theta/\lambda)] / I_0$$

a theoretically nice result but impractical to be used.

- *Least Square Method*

Using a discrete approximation for $p(D)=p_k$ and $I(\theta)=I_n$ and letting $S_{nk} = \text{somb}^2[(D_k/\lambda)\sin\theta_n]$, we get a set of equations:

$$I_n = \sum_{k=1..K} S_{nk} p_k \quad (n=1..N)$$

N is the number of angular measurements performed on the intensity, K is the number of unknown diameters. We start with $K < N$ and close the set adding $N-K$ equations from the LSM condition, sought from:

$$\epsilon^2 = \sum_{n=1..N} [I_n - \sum_{k=1..K} S_{nk} p_k]^2 = \min$$

Particle Size Measurement 3

Taking the derivative respect p_k 's and equating to zero gives:

$$0 = \partial(\epsilon^2)/\partial p_k = \sum_{n=1..N} 2[I_n - \sum_{k=1..K} S_{nk} p_k](-S_{nk})$$

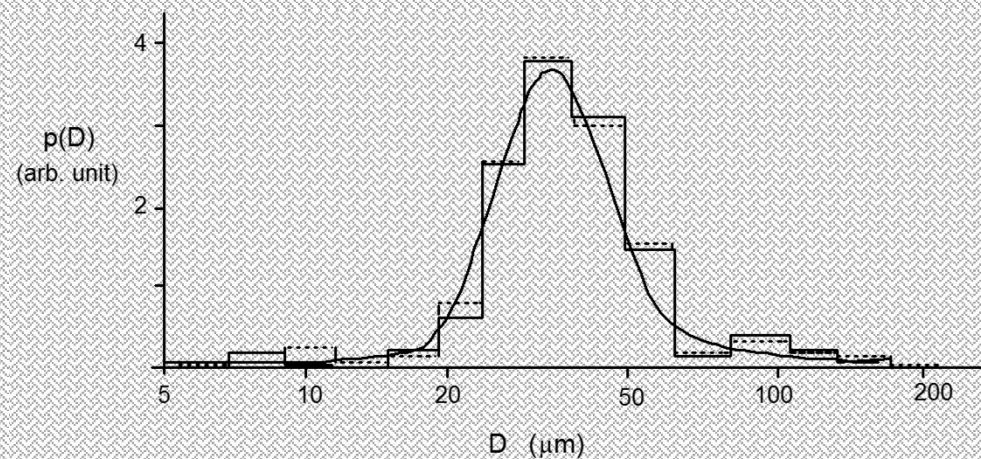
and rearranging we get

$$J_h = \sum_{k=1..K} Z_{hk} p_k \quad (h=1..K)$$

where we have let $J_h = \sum_{n=1..N} I_n S_{nh}$ and $Z_{nk} = \sum_{n=1..N} S_{nk}^2$

Now, the number of equations is equal to the number of unknown and we can solve for p_k with standard algebra.

Usually, the range of diameters of interest may be large (for example, two decades from 2 to 200 μm) but the number of affordable diameter is modest (e.g. $K=6-9$) at $\pm 10\%$ accuracy.



Particle Size Measurement 4 *

-Iterative Methods. They are based on the following approach: if the set of diameter p_k is correct, it should give the measured distribution $I_{n,calc} = \sum_k C_{nk} p_k$. If these values $I_{n,calc}$ differ from experimental values $I_{k,meas}$, then we may expect to approach the solution if we multiply p_k by $I_{k,meas}/I_{k,calc}$.

Using $p_{k+1} = I_{k,meas}/I_{k,calc} p_k$ and repeating an adequate number of times, p_k should converge to the correct solution (there is no clear sign of convergence, however)

A refinement of Chahine's method consists in weighting the iteration by the normalized kernel, $S_{nk}/\sum_{n=1..N} S_{nk}$, using

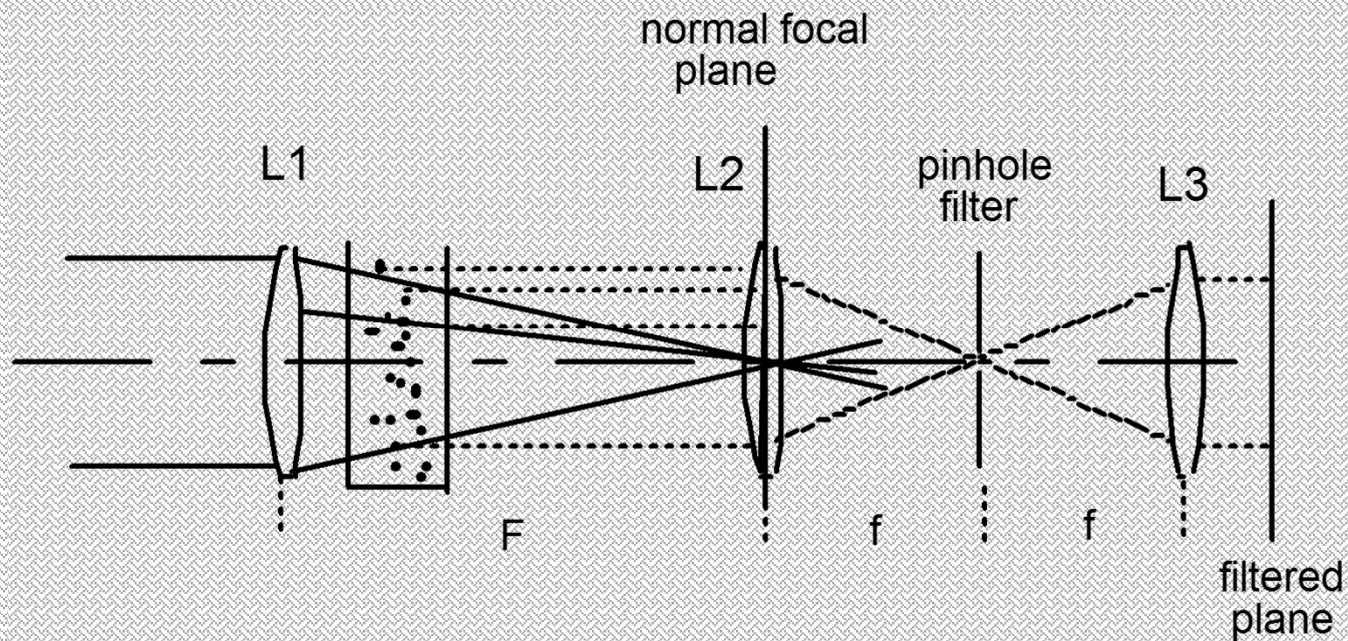
$$p_{k+1} = (S_{nk}/\sum_{n=1..N} S_{nk})(I_{k,meas}/I_{k,calc}) p_k$$

In this way, spurious peaks found in Chahine's method are suppressed, and resolution and dynamic range are improved

Particle Size Measurement 5 *

Common errors in the PSM: *finite size* of detector, *beam waist* effects, *lens vignetting*, and undiffracted beam ($\theta=0$), important for small θ (large D).

Better than a stop to block it out, we can use the filtering known as reverse Fourier-transform illumination, with a convergent beam to illuminate the cell. Diffracted rays (dotted lines) are focused on axis, and pass through the pinhole, whereas undiffracted rays arrive out-of-axis and are blocked.

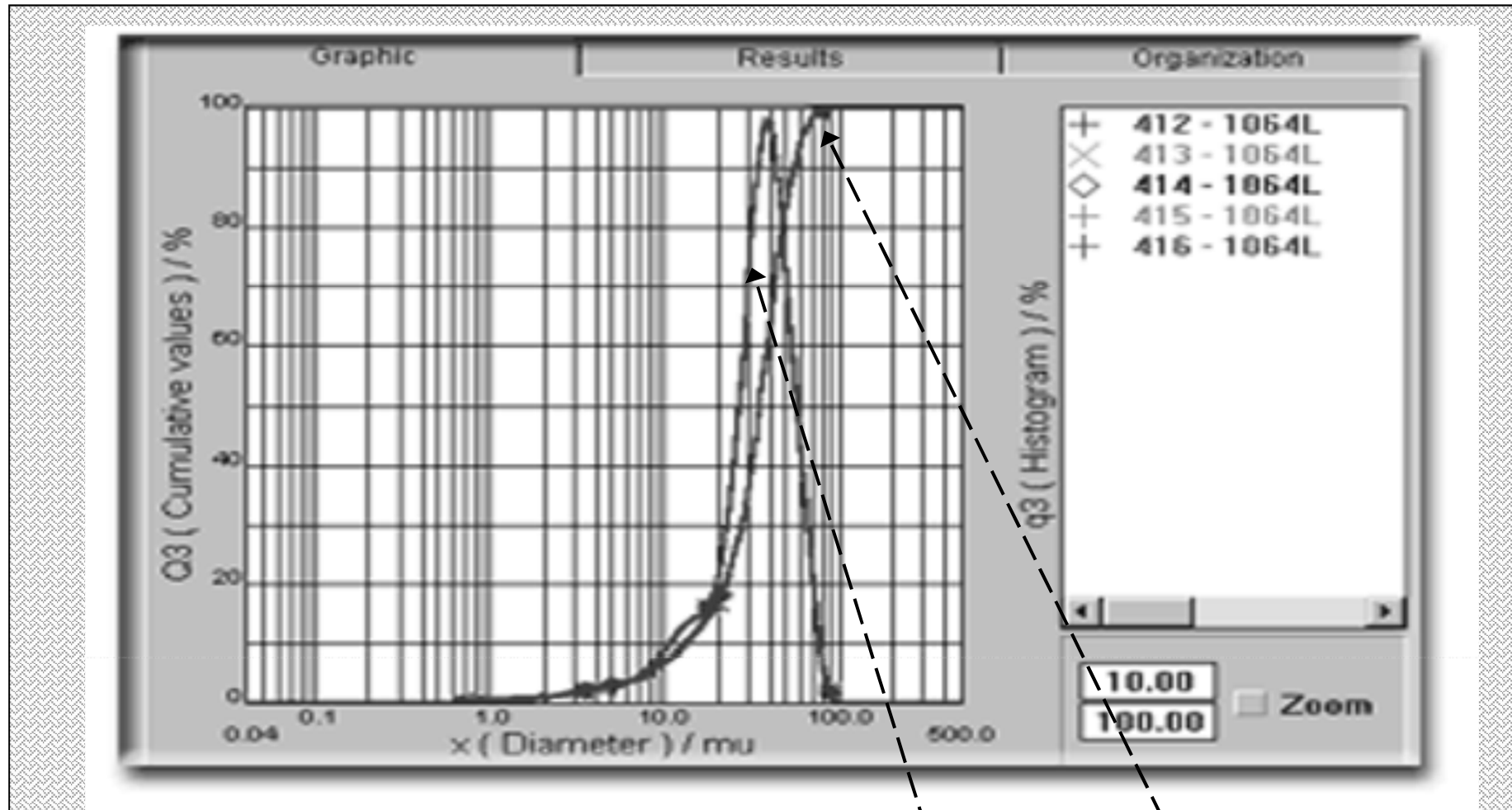


Particle Size Measurement 6



A modern, general purpose particle-size analyzer based on diffraction performs diameter measurements from 1 to 2500 μm [by CILAS, France]

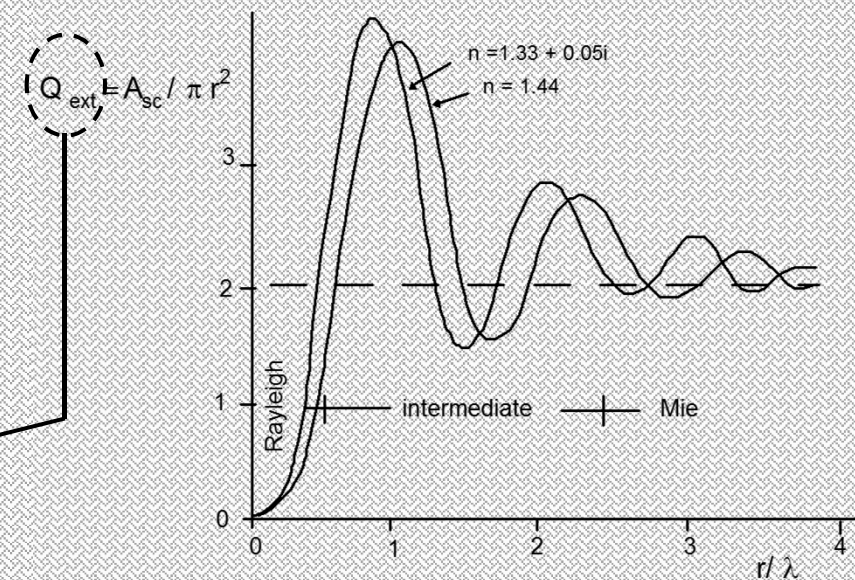
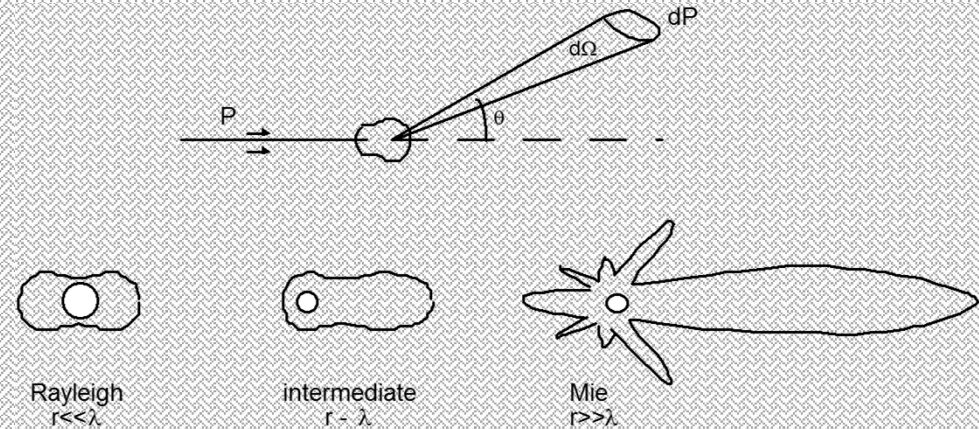
Particle Size Measurement 7



An example of an easy-to-get **particle size pdf $p(D)$** and **cdf $P(D)$** distribution measured by a commercial instrument (courtesy of CILAS)

Particle Size Measurement 8 *

- In the **Rayleigh** regime $r \ll \lambda$, the scattering is nearly **isotropic** in angle and the extinction factor Q_{ext} varies as $(r/\lambda)^4$.
- When r increases up to about $r \approx \lambda$, (**intermediate** regime) the scattering function $f(\theta)$ is mainly **forward** and the extinction factor increases up to $Q_{\text{ext}} \approx 2-4$.
- For $r \gg \lambda$ we enter in the **Mie** regime, extinction Q_{ext} is nearly constant (in λ) at ≈ 2 and $f(\theta)$ is strongly peaked **forward**



Q_{ext} tells how much the light *extinction cross section* (due to scattering) is larger than the **physical area** (πr^2) of the diffusing **particle**

Particle Size Measurement 9 *

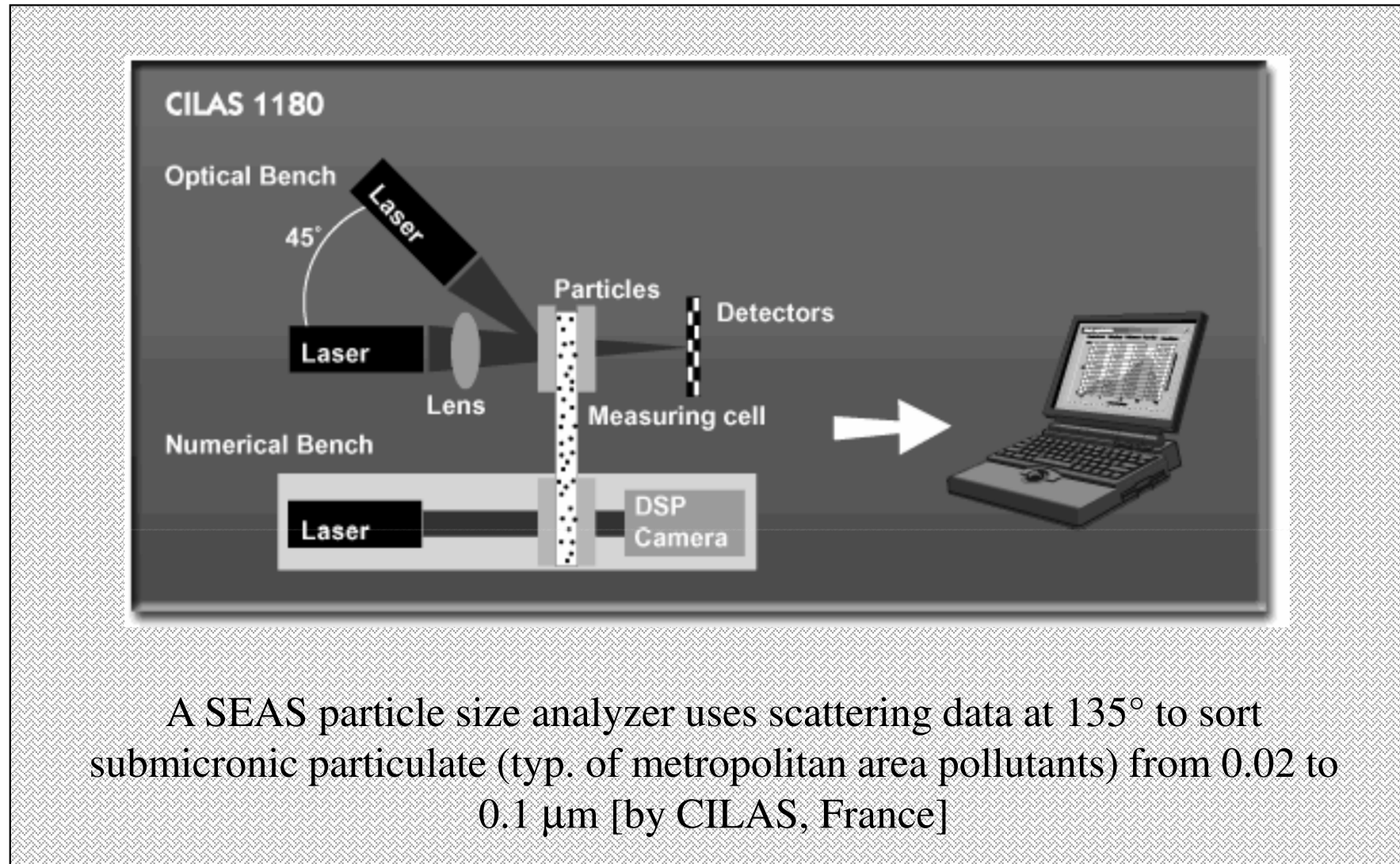
- Another method is **SEAS** (*Spectral Extinction Aerosol Sizing*). It is based on measuring light scattered from the cell at a fixed angle, while scanning λ instead of θ . By varying the ratio D/λ , the extinction factor $Q_{\text{ext}}(D, \lambda, n)$ varies and the scattered power too, according to:

$$I(\lambda, 45^\circ) = f(45^\circ) (\Delta\Omega/4\pi) I_0 \int_{0-\infty} Q_{\text{ext}}(D, \lambda, n) p(D) dD$$

where $f(\theta)$ =scattering function, Q_{ext} =extinction factor. The equation is the counterpart of that for extinction-related measurement, and all the methods of inversion of the Fredholm's integral can now be applied on D_k and λ_n . With **SEAS we may to go down to 0.02–0.1 μm** as the minimum measurable size, overlapping with the LAELS low-range ($\approx 2\text{-}5 \mu\text{m}$).

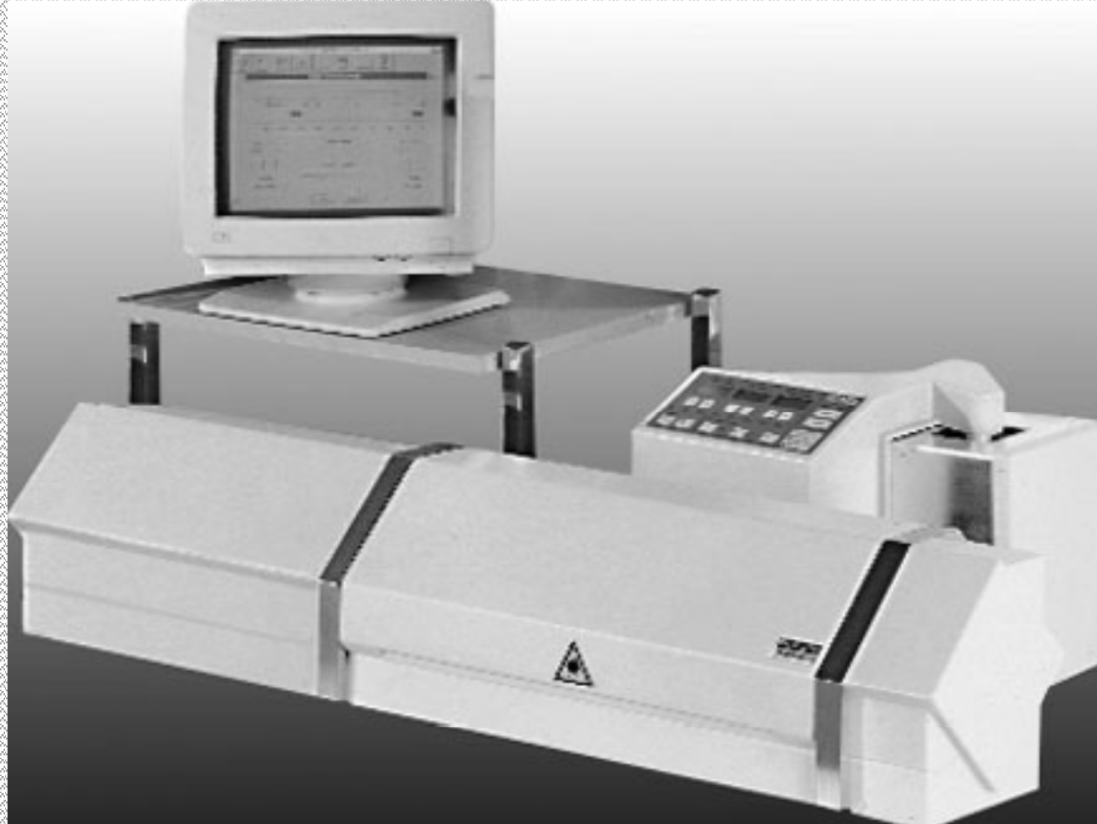
- A last method is the *Dynamical Scattering Size Analyzer (DSSA)*, useful **for very small (1..100-nm) particles**. Based on the frequency shift due to Doppler effect $(\underline{k}_o - \underline{k}_i) \cdot \underline{v}$, it is measured by the time-domain autocorrelation function $C(\tau) = (1/T) \int_{0-T} i(t) i(t+\tau) dt$ which depends from the diffusion constant δ of particles according to: $C(\tau) = C_0 \exp -\delta (k_o - k_i)^2 \tau$.

Particle Size Measurement 10



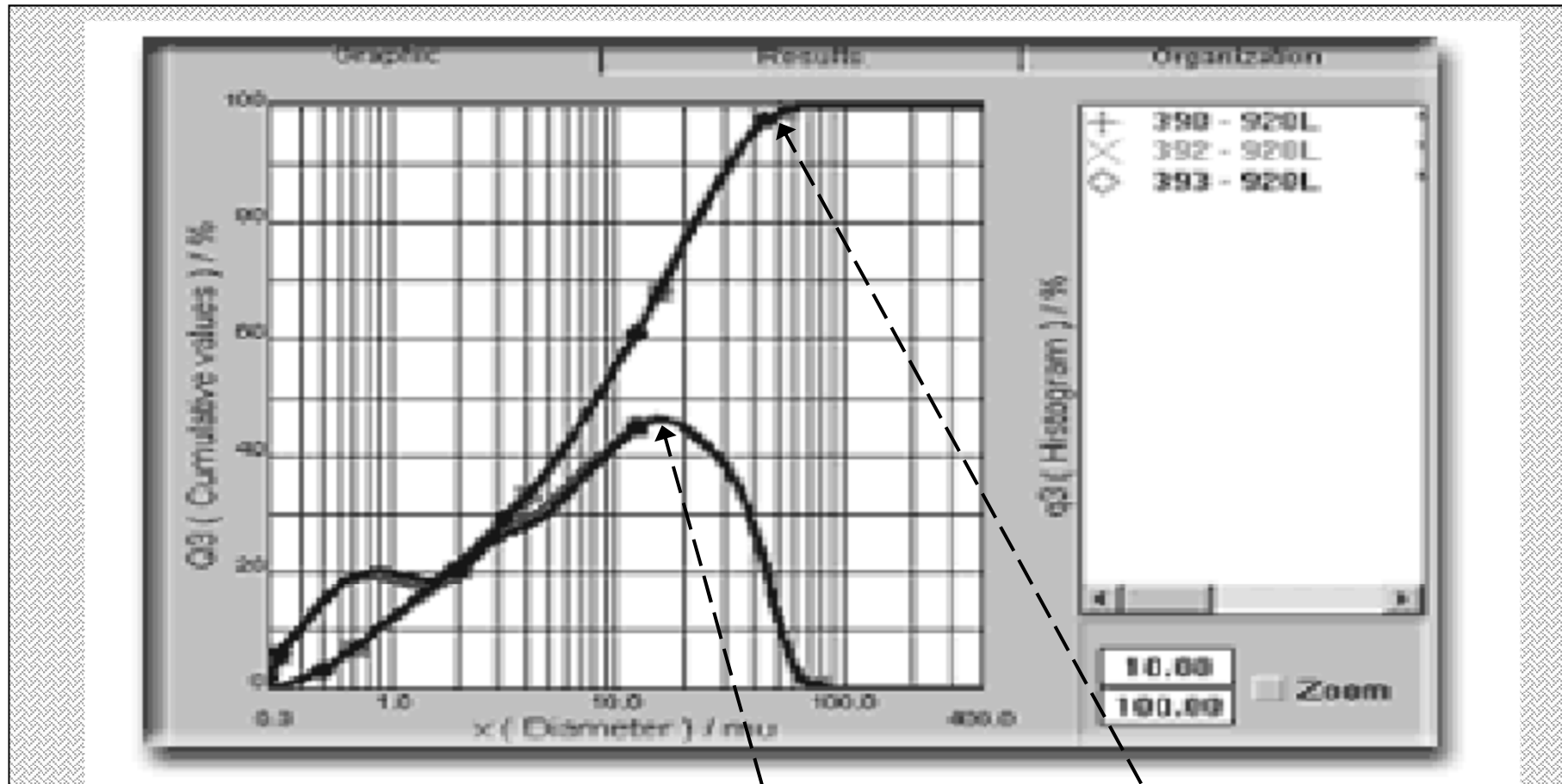
A SEAS particle size analyzer uses scattering data at 135° to sort submicronic particulate (typ. of metropolitan area pollutants) from 0.02 to $0.1 \mu\text{m}$ [by CILAS, France]

Particle Size Measurement 11



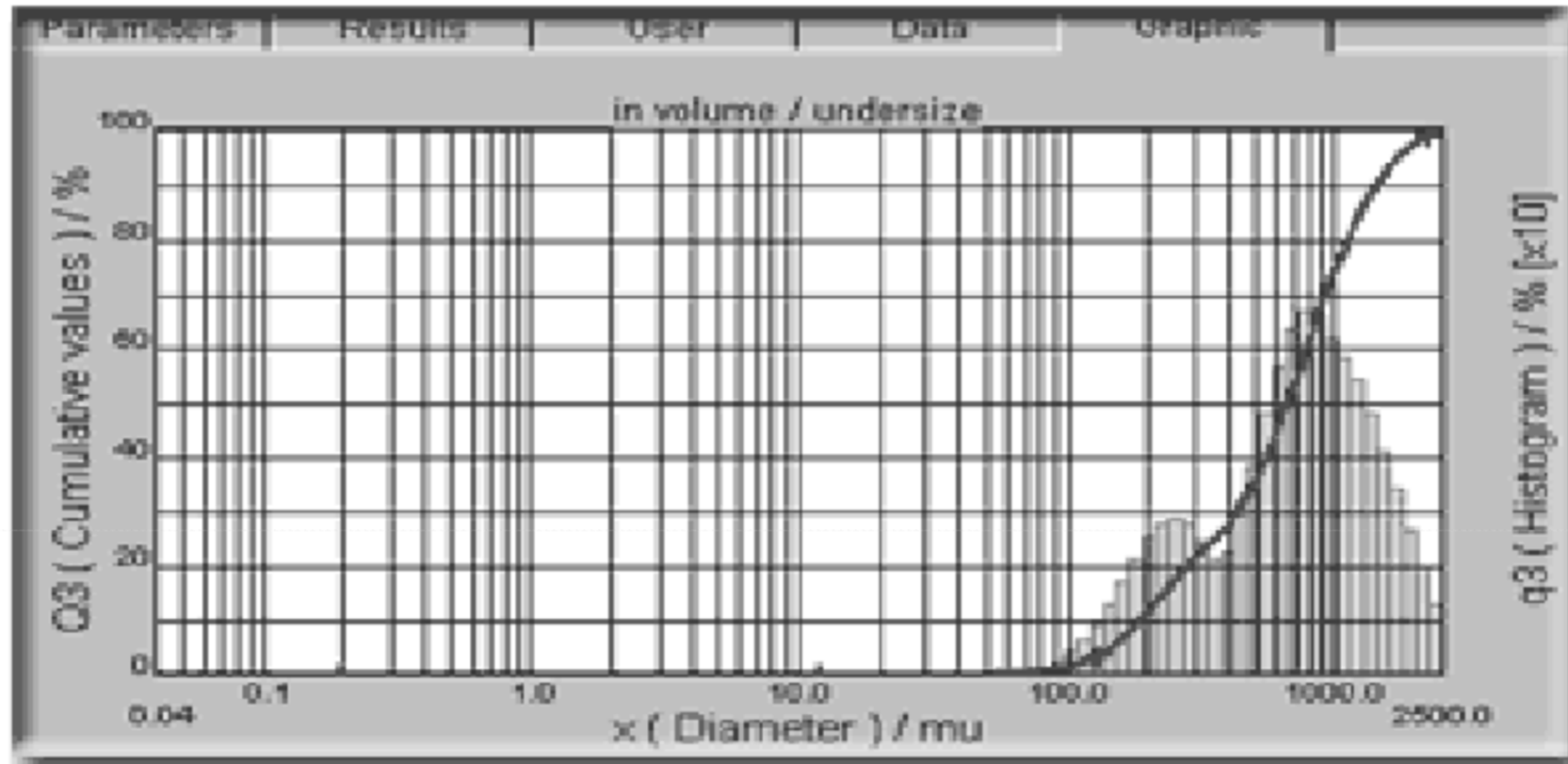
A modern particle-size analyzer based on diffraction and extinction (LAELS + SEAS), performs diameter measurements from 0.05 to 2500 μm [by CILAS, France]

Particle Size Measurement 12



A second example of particle size pdf $p(D)$ and cumulative $P(D)$ of a bi-modal distribution, more difficult because with both small and large particles, as measured by a commercial instrument (courtesy of CILAS)

Particle Size Measurement 13



A third example of particle size pdf $p(D)$ and cumulative $P(D)$ of a distribution with two populations of very large particles (powders) as measured by a commercial granulometer (courtesy of CILAS)